

Confirmation Certificate - Business

Section 1 - Details of Individual	
Full Name of Customer	
Type of entity (corporate, trust etc)	
Location of Business (full operating address)	
Registered Office in country of incorporation	
Registered number if any	
Relevant company register or regulated market listing authority	
Names of directors or equivalent plus dates of birth if known	
Names of principle beneficial owners (over 25%) plus dates of birth if known	
Section 2 - Confirmation	
I/We confirm that:	
(a) the information in Section 1 above was obtained by me/us in relation to the customer	
(b) the evidence we have obtained to verify the identity of the customer:	
(please tick one box only)	
	meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG, or:
	exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)
Signed	
Name	
Position	
Date	
Section 3 - Details of Firm	
Full Name of Regulated Firm	
FCA Reference Number	
Explanatory notes	
1. 'Relevant company register' includes other registers such as those maintained by charity commissions (or equivalent) or chambers of commerce	
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories	
- those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirements for such verifications	
- those who are subject to Simplified Due Diligence under the Money Laundering Regulations or those whose identity has been verified using the source of funds concession	

Document History

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Version	Date	Author	Revision Notes
1.0	02/06/2010	Peter O'Sullivan	
2.0	11/07/2014	Natalie Finlayson	Added document history and version control
3.0	16/04/2015	Kelly Hagger	Annual Review