

ISA Client Declaration

Where 'I' is used in any of the following declarations, this shall mean 'I, on behalf of my client'.

Important information

Before completing the following declaration, please read our LV= ISA Terms and Conditions, the Supplementary Information Document, LV= ISA Plan Conditions, relevant Key Information Document and 'Your guide to how we manage our unitised with-profits LV= ISA business'. If there is anything you don't understand, please ask your financial adviser or contact us.

We also recommend that you read the 'How we use your personal information' document by visiting www.LV.com/data-protection/life.

Honest and truthful disclosure

By completing this application, I confirm that;

- I have answered all questions truthfully and honestly even if this has been provided on a previous application to LV=;
- All information provided by me in connection with this application is honest and accurate, and I will inform you of any change occurring before acceptance of this application form;
- I declare that to the best of my knowledge and belief the information contained in this application form, and provided in my LV= ISA Personal Example, is complete and true and I have checked any answers completed on my behalf;
- I agree to advise LV= promptly in writing of any change in my circumstances which affects this information.

I apply to Liverpool Victoria Financial Services Limited ('LV=') to invest in the current tax year and in any subsequent tax year* until further notice, in a Liverpool Victoria Individual Savings Account ('ISA') and declare and/or agree that:

- All subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed/made payments, and will not subscribe/make payments, more than the overall subscription/payment limit in total to any Cash ISAs, Stocks and Shares ISAs, Innovative Finance ISAs, and a Lifetime ISA in the same tax year;
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in civil partnership with, a person who performs such duties. I will inform Liverpool Victoria Financial Services Limited (LV=) if I cease to be so resident or to perform such duties or to be married to, or in civil partnership with, a person who performs such duties;
- I have been informed that any transfers to LV= in this application are subject to acceptance by the existing ISA Manager;

- For any transfers to LV= I authorise my existing ISA Manager (as specified in the ISA Application) to transfer the ISA (reference number(s) shown in the ISA Application) to LV=;
- For any transfers in I authorise my existing ISA Manager (as specified in the ISA Application) to provide LV= with any information, written or non-written, concerning the ISA and to accept any instructions from them relating to the ISA being transferred;
- I agree to the LV= ISA Plan Conditions;
- I agree to the LV= ISA Terms and Conditions;
- I have read the Key Information Document applicable to my LV= ISA investment, together with the Supplementary Information Document;
- I understand that LV= will regularly review and update the content of the Key Information Document (KID). I agree to read this document when LV= notifies me of a change. The most up to date KID is available at LV.com/investments/ISA;
- The ISA will start when LV= has accepted this application and the payment;
- The payment of all or part of the ISA proceeds will only be paid to the legal owner of the ISA, or to pay ongoing or ad-hoc adviser charges to your financial adviser on your behalf;
- I confirm that I have not fully cashed in any other LV= ISA held in my name, in order to invest in this LV= ISA;
- I have been informed that if I have cashed in an LV= ISA, that I owned within the last 12 months, I or my financial adviser must contact LV= before making a new investment into the LV= ISA. I have been advised that LV= will not accept any such investment if LV= believe that it is against the interests of other members;
- I instruct LV= to pay my financial adviser the adviser charges specified in this application form;
- I know that if I cancel my ISA within the 30 day cooling off period, any withdrawals paid to me will be deducted from the amount returned to me;
- I know that if I cancel my ISA within the 30 day cooling off period, any initial adviser charge and any ongoing adviser charges paid, or due to be paid, will be paid by LV= to my financial adviser and will be deducted from the amount returned to me; and



- I know that I can cancel or vary my instructions to pay adviser charges by giving LV= notice in writing. However, I agree that any adviser charges due, but not paid, prior to the date of receipt of the notice of cancellation or variation will be paid by LV= to my financial adviser.

*This declaration enables you to make additional ISA investments any successive tax year without the need to complete another declaration; but you're under no obligation to make any further investments.

Member Consent

The product you are applying for is a member qualifying product and as part of the application we will look to grant membership to the applicant. By signing this application form, you acknowledge and confirm:

- that you will become a member of Liverpool Victoria Financial Services Limited ('LV='), a company limited by guarantee, registered in England and Wales with registered number 12383237;
- your membership will be governed by the Companies Act 2006 and LV='s articles of association which are available to view at [LV.com/about-us/company-information/corporate-governance](https://www.lv.com/about-us/company-information/corporate-governance) or in hardcopy on request;
- your membership shall commence from the date LV='s register of members is updated to include your details and shall terminate in accordance with LV='s articles of association;
- in the unlikely event LV= were to be wound up during your membership, or within one year after you cease to be a member, you undertake to contribute an amount to the assets of LV= not exceeding one penny. Such amount would not be required unless called for by a liquidator; and
- in the case of policies where the intended policyholder is less than 18 years of age as at the date of this application, you are either the parent, legal guardian or trustee of the policyholder or other person who has been nominated by the policyholder to become a member of LV= on their behalf.

A summary of how we use your personal information

Liverpool Victoria Financial Services Limited (LV=) is the data controller of your personal information. We'll keep you informed about how we use your personal information in the document 'How we use your personal information', which is available:

- online at [LV.com/dataprotectionlife](https://www.lv.com/dataprotectionlife)
- in print from Life Customer Support, LV=, County Gates, Bournemouth, BH1 2NF or email us at LifeCustomerSupport@LV.com

By completing this application I understand that my personal information will be used in line with the LV= 'How we use your personal information' statement, which includes being:

- Used by LV= and shared with my intermediary for the purposes of providing me with a quote, processing and administering my policy and assessing any claims.

- Shared with regulatory bodies (for example, the Financial Conduct Authority and HM Revenue & Customs) to enable LV= to fulfil legal and regulatory obligations.

You have a number of rights concerning your personal information. You can ask for a person to **review** an automated decision, and in certain circumstances to:

- **access** the personal information we hold about you
- **correct** personal information
- have your personal information **deleted**
- **restrict** us processing your personal information
- receive your personal information in a **portable** format, and
- **object** to us processing your personal information.

If you want to find out more or exercise these rights, contact Life Customer Support, LV=, County Gates, Bournemouth, BH1 2NF or email us at LifeCustomerSupport@LV.com

You can contact us about data protection at: Data Protection Officer, LV= County Gates, Bournemouth, BH1 2NF or email us at dpo@LV.com.

The information you have provided in this application form won't be used for marketing purposes.

Financial Crime

The personal information LV= has collected from you will be shared with crime prevention agencies who will use it to prevent financial crime and money-laundering and to verify your identity. If financial crime is detected, you could be refused certain services, finance or employment. Further details of how your information will be used by LV= and these fraud prevention agencies, and your data protection rights, can be found by contacting us at GFC LV=, County Gates, Bournemouth, BH1 2NF.

If you or anyone representing you:

- provides LV= with misleading or incorrect information to any of the questions asked when applying for or amending this product;
- deliberately misleads LV= to obtain more favourable terms;
- provides LV= with false documents;
- makes a fraudulent payment by bank account and/or card,

LV= may:

- reject your LV= ISA application;
- amend your LV= ISA to record the correct information, apply any relevant ISA terms and conditions and collect any additional monies due including any administration charges;
- reject a claim or reduce the amount of payment we make;
- cancel or void your LV= ISA and any other products which you have with LV= and apply a cancellation charge;
- recover from you any costs incurred and not return any monies paid by you.

LV= also has the right to stop processing your application, cancel your policy and pass details to crime prevention and law enforcement agencies if:

- LV= identifies financial crime or any attempt to gain an advantage, in connection with this application for this product, to which you're not entitled;
- LV= identifies your involvement or association with fraud or financial crime.

Terrorist Financing

LV= uses your information to make sure we comply with any financial sanctions that apply in the UK and overseas.

This includes:

- checking your information against sanctions lists;
- sharing your information with HM Treasury and international regulators.

LV= will contact you if more information is needed to comply with any financial sanctions.

Your acknowledgement

I authorise LV= to:

- hold my cash subscriptions, any other proceeds in respect of my LV= ISA investments and any other cash;
- make on my behalf any claims to relief from tax for my LV= ISA investments; and
- make a record of this declaration and send me a copy within five business days of LV= receiving my on-line application.

Warning:

It is a serious offence to make false statements; the penalties are severe and could lead to prosecution.

I confirm that LV= has advised me to read the Key Information Document (KID) applicable to my chosen LV= ISA investment fund, the Supplementary Information Document (SID), 'Your guide to how we manage our unitised with-profits LV= ISA business', the ISA Plan Conditions, the ISA Terms and Conditions and the Declaration section above.

LV= has also recommended that I read the 'How we use your personal information' document by visiting [LV.com/dataprotectionlife](https://www.lv.com/dataprotectionlife)

I agree that I will be bound by the declaration.

A copy of your completed application and the ISA Plan Conditions are available on request.

You can get this and other documents from us in Braille, large print or on audio by contacting us.



Liverpool Victoria Financial Services Limited: County Gates, Bournemouth, BH1 2NF.

LV= and Liverpool Victoria are registered trademarks of Liverpool Victoria Financial Services Limited and LV= and LV= Liverpool Victoria are trading styles of the Liverpool Victoria group of companies. Liverpool Victoria Financial Services Limited (LVFS), registered in England with registration number 12383237 is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, register number 110035. LVFS is the ISA Manager. Registered address: County Gates, Bournemouth, BH1 2NF. Telephone 0800 681 6292.

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