

**LV= Platform Services**

## **Data Capture Form**

This form has been produced to help financial advisers obtain client information for Account opening on the LV= platform.

If you have any questions regarding the LV= platform, or you are uncertain about the information contained in this form, please do not hesitate to contact us on the details at the end of this form.

## Client Details

Title Mr/Mrs/Miss/Ms/Dr/Other

Date of birth /

First name(s) \_\_\_\_\_

Surname \_\_\_\_\_

Gender  Male  Female

Marital Status \_\_\_\_\_

Town of Birth \_\_\_\_\_

Country of Birth \_\_\_\_\_

Nationality \_\_\_\_\_

Phone Number \_\_\_\_\_

National Insurance Number \_\_\_\_\_

Email address \_\_\_\_\_

Permanent Residential Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_ Postcode

Is the business registered in the UK?  Yes  No

UK primary citizen\*  Yes  No

\*Please note, we are only able to accept individuals who respond 'yes' to this question.

If you would like to receive promotional emails about the LV= platform's products and services, which we think will be of interest to you, please tick the appropriate boxes below. This will not affect our day-to-day email correspondence. You can unsubscribe to these communications or update your personal details at any time. For further information on how we hold and use your details please read our Privacy Notice.

Communication preferences  Email  Phone  Post  SMS

## Name of Account

Name you wish the account to be called \_\_\_\_\_

(This is usually the client's name but can be anything to help identify this Account)

Employment status  Employed  Retired  Other  
 Self employed  Student  Unemployed

Name of Employer \_\_\_\_\_

Employer Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_ Postcode

Job Title \_\_\_\_\_

Total income earned £  Estimation of net worth £

Source of wealth  Salary  Inheritance  Investments  Other



### ISA Contribution

Payment type  Single  Regular Amount £

Payment method  Direct Credit  Direct Debit

### ISA Investment Allocation

Name of investment	Allocation
	<input type="text"/> % £ <input type="text"/>
	<input type="text"/> % £ <input type="text"/>
	<input type="text"/> % £ <input type="text"/>
	<input type="text"/> % £ <input type="text"/>
	<input type="text"/> % £ <input type="text"/>
	<input type="text"/> % £ <input type="text"/>
	<input type="text"/> % £ <input type="text"/>
	<input type="text"/> % £ <input type="text"/>

### ISA Remuneration

Initial charge  % £  Ongoing charge  % £

### ISA Distribution and Dividend Destination

Income strategy  Natural income  Product cash  Re-invest

## Personal Pension

### Personal Pension Transfer

Existing Provider

Existing Provider Address

Postcode

Scheme type

Final Salary  Section 32  Group Personal Pension  SIPP  
 Executive Pension Plan  Section 226/226A  Stakeholder Pension  Other Personal Pension  
 SSAS  Other Money Purchase Scheme

Account Number

Transfer type  Cash  In specie Full/partial  Full  Partial

Does the transfer have a crystallised element?  Yes  No Does the transfer have a crystallised element?  Yes  No

Value/percent crystallised £  Value/percent uncrystallised £

Valuation date / / --- Estimated transfer value £



Full Name

Type of entity (corporate, trusts etc)

Registered office

Registered number

Relevant company registry

Name of principle beneficial owners (over 25%)

**Personal Pension Investment Allocation**

Name of investment	Allocation
	<input type="text"/> %    £ <input type="text"/>
	<input type="text"/> %    £ <input type="text"/>
	<input type="text"/> %    £ <input type="text"/>
	<input type="text"/> %    £ <input type="text"/>
	<input type="text"/> %    £ <input type="text"/>
	<input type="text"/> %    £ <input type="text"/>
	<input type="text"/> %    £ <input type="text"/>
	<input type="text"/> %    £ <input type="text"/>

**Personal Pension Remuneration**

Initial charge  %    £       Ongoing charge  %    £

**ISA Distribution and Dividend Destination**

Income strategy  Product cash     Re-invest

**Personal Pension Details**

Intended retirement date //

Pension protection information

Type of protection \_\_\_\_\_ Certificate number \_\_\_\_\_

PCLS entitlement (where applicable)

Enhancement factor (where applicable)

Individual protection (where applicable)



### GIA Contribution

Source of payment	<input type="checkbox"/> Individual	<input type="checkbox"/> Third Party	<input type="checkbox"/> Employer
Payment type	<input type="checkbox"/> Single	<input type="checkbox"/> Regular	Amount £ <input type="text"/>
Payment method	<input type="checkbox"/> Direct Credit	<input type="checkbox"/> Direct Debit	
This pension is eligible for tax relief:	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Relevant earnings £ <input type="text"/>

### GIA Third Party Contribution

Type of Third Party	<input type="checkbox"/> Individual	<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Premium Payer
	<input type="checkbox"/> Controller	<input type="checkbox"/> Payee	
Title	Date of Birth	<input type="text"/>	<input type="text"/>
First Name(s)	<input type="text"/>		
Surname	<input type="text"/>		
Gender	<input type="text"/>		
Nationality	UK Resident		
Address	<input type="text"/>		
	<input type="text"/>		
	Postcode		
Full Name	<input type="text"/>		

### LV= Platform Services



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