

# LV= ISA TRANSFER FORM

## REQUEST TO TRANSFER INTO THE LV= STOCKS AND SHARES ISA

We are able to provide literature and communications in alternative formats: if you would like this document in Braille or large print, please contact your Financial Adviser, or alternatively please contact LV= on 0845 113 0273.

Please complete this form in ink using BLOCK CAPITALS and send to:  
Liverpool Victoria Portfolio Managers Ltd, PO Box 10033, Chelmsford, CM99 2AL.

If you have any questions, please contact your Financial Adviser, or alternatively please contact LV= on 0845 113 0273.

### FOR AGENT USE ONLY

Agency/Firm Name: \_\_\_\_\_

Agent Name: \_\_\_\_\_

Agent/Agency Number: \_\_\_\_\_

Discount %: \_\_\_\_\_

Commission Payable %: \_\_\_\_\_

Advice given? Yes  No

Source/Media Code: \_\_\_\_\_

## YOUR DETAILS

Title Mr/Mrs/Miss/Ms/Dr/Other \_\_\_\_\_ Surname \_\_\_\_\_

Forename(s) \_\_\_\_\_

Permanent residential address \_\_\_\_\_

\_\_\_\_\_

Postcode \_\_\_\_\_

Email address \_\_\_\_\_

We may need to contact you by telephone (please include dialling code) \_\_\_\_\_

## INFORMATION REQUIRED FOR HM REVENUE & CUSTOMS PURPOSES

Your application cannot be processed without the following information.

Date of Birth    /    /     National Insurance (NI) number

Note: You may find your NI number on any of these items: payslip, form P45 or P60, a letter from HMRC or DWP, or pension book.

## INVESTMENT INFORMATION

Please state the full name of the LV= Fund(s) that you wish to invest in, and the percentage of your existing ISA that you wish to transfer:

**Please note:** The minimum amount that you may transfer is £1,000 per fund.

Fund name (from list)	% of Transfer
<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/> %
<b>Total 100%</b>	<input type="text"/> %

- Please complete Page 3 of this form with details of your existing ISA Manager
- LV= does not offer a Cash ISA for new investments. All Cash ISAs will be transferred into the LV= Stocks and Shares ISA
- If you have ISAs with more than one Manager, either photocopy this form, or call us on 0845 113 0273 for additional copies.

**LV= Investment Funds I ICVC**  
LV= UK Growth Fund  
LV= European ex-UK Growth Fund  
LV= UK Equity Income Fund

**LV= Investment Funds II ICVC**  
LV= UK Money Market Fund  
LV= UK Fixed Interest Fund  
LV= UK Index Linked Fund  
LV= UK Corporate Bond Fund  
LV= Stockmarket Fund  
LV= Balanced Managed Fund

**LV= Investment Funds III ICVC**  
LV= Diversified Income Fund  
LV= Managed Portfolio 3  
LV= Managed Portfolio 4  
LV= Managed Portfolio 5  
LV= Managed Portfolio 6  
LV= Managed Portfolio 7

**LV= UK Property Fund**  
LV= UK Property Fund

# INCOME PAYMENT DETAILS

(LV= Diversified Income Fund, LV= UK Equity Income Fund, and LV= Managed Portfolios 3-7 only)

You may choose either to have any income paid directly into your nominated bank account or for income to be reinvested to buy more shares in the fund. To receive income into your bank account, please complete the section below. If you do not complete this section, any income received will automatically be reinvested. The account must be held in your own name.

Name of UK bank or building society \_\_\_\_\_

Address \_\_\_\_\_

Postcode \_\_\_\_\_

Sort code

Account number


Name(s) of account holder(s) \_\_\_\_\_

## DECLARATION

I declare that:

- I have read the relevant LV= ISA Key Features Document and the relevant Simplified Prospectus of the fund(s) selected and confirm I have been offered a copy of the relevant Full Prospectus.
- I agree to the Terms and Conditions
- If I have downloaded this application from [www.LVAM.co.uk](http://www.LVAM.co.uk), I acknowledge that I have retained/saved the Simplified Prospectus and Terms and Conditions for my future reference.
- I am aged 18 or over.
- I am investing only my own money in this LV= ISA.
- I have not subscribed, and will not subscribe, more than the overall subscription limit in to total to a Cash ISA and a Stocks & Shares ISA in the same tax year.
- The LV= ISA is a Stocks and Shares ISA. I have not subscribed to, and will not subscribe to any other Stocks and Shares ISA in the same tax year that I subscribe to this LV= ISA.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with a person who performs such duties. I will inform the Company if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

- My LV= ISA shall start on the day that the Company receives my fully completed application and investment payment(s) (subject to any proof of identity required) at the administration address shown at the top of this form; however if the date of receipt is not a business day or the time of receipt is after 2pm, my LV= ISA shall start on the next business day.
- LV= and third party service providers may use information I have given in this application to process my application and for the ongoing management of my account. Information may be held on computer, paper file or other appropriate medium for as long as the application is being considered, for as long as I remain a shareholder and for an appropriate length of time thereafter.
- LV= and third party providers may use information given to make searches about me at credit reference agencies that hold my information (such as from the electoral roll). I accept that the agencies check my identity and will keep records of these searches, even if my application does not proceed. I understand that LV= may use scoring methods to check my identity and may ask me for supporting documents.

 We will keep your information and add it to our marketing databases. We may use it to keep your records up to date, for business analysis and market research. We may also use it to let you know by post, phone, email and electronic methods about products and services we think may interest you. We may pass your details to other carefully selected organisations, but only for these purposes.

If you do not wish to receive such marketing information, please tick this box

Subject to a payment of a fee, you can ask for a copy of the personal information we hold about you by writing to PO Box 10033, Chelmsford, CM99 2AL. For details of the LV group of companies please refer to [www.LVAM.co.uk](http://www.LVAM.co.uk)

### I authorise the Company to:

- Hold my ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- Make on my behalf any claims to relief from tax for my ISA investments

The information given in this application is to the best of my knowledge and belief, true and accurate; and I agree to advise the Company promptly of any change in my circumstances which affects this information.

Signature of Investor 

Date \_\_\_\_\_



**Authorised and regulated by the Financial Services Authority.**

LV= and Liverpool Victoria are registered trade marks of Liverpool Victoria Friendly Society Limited. LV= and LV= Liverpool Victoria are trading styles of the Liverpool Victoria group of companies. Liverpool Victoria Asset Management Limited (LVAM) is the Investment Manager and Liverpool Victoria Portfolio Managers Limited (LVPM) the ISA manager and Authorised Corporate Director for LV= Investment Funds I, II, III ICVC and LV= UK Property Fund. LVAM and LVPM are authorised and regulated by the Financial Services Authority, register numbers 185399 and 188521 respectively. Both companies are incorporated in England and Wales company numbers 3287943 and 3579650 and are members of the IMA. LV= Investment Funds I, II, III ICVC and the LV= UK Property Fund are open ended investment companies (OEICs) with variable capital incorporated in England and Wales with numbers: IC24, IC593, IC678 and IC577 respectively, and authorised and regulated by the Financial Services Authority, register numbers 188233, 474773, 482678 and 470989 respectively. Registered address for all companies: County Gates, Bournemouth, BH1 2NF. Calls may be recorded for training and monitoring purposes.

LVAM174 05/10